

A

Please type a plus sign (+) inside this box → X

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	21964-P002US
---------------------	--------------

First Inventor or Application Identifier	Maini et al.
--	--------------

Title	TAUT LINE MOORING APPARATUS & METHOD
-------	--------------------------------------

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 25]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)(2) and 1.33(d)(2) (for continuation/divisional with Box 16 completed))
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(d)(2)

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
8. ☐
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

*** NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- | | | | |
|---------------------------------------|-------------------------------------|---|--|
| <input type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No. _____ / _____ |
|---------------------------------------|-------------------------------------|---|--|

Prior application information: Examiner _____ Group / Art Unit: _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ *Customer Number or Bar Code Label*

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Robert C. Shaddox
	Winstead Sechrest & Minick P.C.
	910 Travis, Suite 2400

<i>City</i>	Houston	<i>State</i>	Texas	<i>Zip Code</i>	77002-5895
-------------	----------------	--------------	--------------	-----------------	-------------------

Country	Telephone	Fax
---------	-----------	-----

Name (Print/Type)	Robert C. Shaddox
-------------------	-------------------

Registration No. (Attorney/Agent)	34,011
-----------------------------------	--------

Signature

Date 12/28/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Administration, Washington, DC 20231.

2400 Bank One Center
910 Travis Street
Houston, Texas 77002-5895
DALLAS HOUSTON AUSTIN
MEXICO CITY

**WINSTEAD
SECHREST
& MINICK**
A Professional Corporation
Attorneys & Counselors

(713) 650-8400
Fax (713) 650-2400
www.winstead.com

DIRECT DIAL: (713)650-2764
rshaddox@winstead.com

December 28, 1999

Assistant Commissioner for Trademarks
ATTN: PATENT APPLICATION
FEE
Washington, D.C. 20231

Re: Patent Application for:
**TAUT MOORING SYSTEM FOR
JACK-UP TYPE MOBILE OFFSHORE
PLATFORMS**
Our File: 21964-P002US

Dear Sir:

Enclosed is a patent application for *Taut Mooring system for Jack-Up Type Mobile Offshore Platforms* for the above-referenced patent application. Enclosed herewith are the following:

1. Patent Application (25 pages);
2. Drawings (8 pages 11 figures)
3. Declaration for Utility Patent Application and Power of Attorney
4. Verified Statement Claiming Small Entity Status
5. Fee Transmittal Sheet
6. Assignment for Recordation
7. Check in the amount of \$663.00; and
8. Post Card

CERTIFICATE OF EXPRESS MAIL:

I, hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as U.S. Express Mail Post Office to Addressee to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.


Name (Signature)

Robert Shaddox

Printed

Express Mail No. EL105205370US

December 28, 1999

Date

Assistant Commissioner for Trademarks
December 28, 1999
Page 2

It is believe that no additional fee is due. However, the Commissioner is hereby authorized to charge any other fees which may be required by this paper or deposit any excess amounts to Winstead Sechrest & Minick P.C. Deposit Account No. 23-2426.

Sincerely,

WINSTEAD SECHREST & MINICK P.C.



Robert C. Shaddox
Attorney for Applicant

RCS:crc
Enclosure

::ODMA\PCDOCS\HOUSTON_1\404829\1
1091:21632-P001US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)
21964-P00205

Applicant, Patentee, or Identifier: **Zentech, Inc.**

Application or Patent No.: _____

Filed or Issued: _____

Title: **TAUTLINE MOORING APPARATUS AND METHOD**

I hereby state that I am

- ☐ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN **Zentech, Inc.**

ADDRESS OF SMALL BUSINESS CONCERN **8582 Katy Freeway, Suite 205
Houston, Texas 77024**

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☐ no such person, concern, or organization exists.
☒ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING **Ramesh Maini**

TITLE OF PERSON IF OTHER THAN OWNER **President**

ADDRESS OF PERSON SIGNING **5403 Summer Snow Dr Houston, Tx**

SIGNATURE **[Signature]** DATE **12/12/99**